

**REVIEW OF THE ACCEPTANCE AND IMPLEMENTATION  
OF JIU RECOMMENDATIONS BY THE INTERNATIONAL  
MARITIME ORGANIZATION (IMO)**

*Prepared by*

*Jorge T. Flores Callejas*

**Joint Inspection Unit**

**Geneva 2016**



**United Nations**

## CONTENTS

<i>Chapter</i>	<i>Paragraphs</i>	<i>Page</i>
<b>I. INTRODUCTION.....</b>	1-9	3
<b>II. ACCEPTANCE AND IMPLEMENTATION OF RECOMMENDATIONS .....</b>	10-24	5
A. Rates of acceptance and implementation of recommendations .....	10-11	5
B. Trend of acceptance and implementation of recommendations .....	12-13	5
C. Rates of acceptance and implementation of recommendations addressed to the executive head and legislative body .....	14-16	6
D. Non-relevant recommendations .....	17-21	7
E. Long-outstanding recommendations for five years or more .....	22-24	8
<b>III. CONSIDERATION OF REPORTS BY IMO LEGISLATIVE BODY .....</b>	25-40	9
A. Dissemination of JIU reports .....	27-29	9
B. Submission of CEB and executive head’s comments.....	30-33	9
C. Consideration of JIU reports.....	34-36	10
D. Decisions taken by the legislative body on JIU recommendations .....	37-38	10
E. Follow-up and reporting on the implementation of JIU recommendations .....	39-40	10
<b>ANNEXES</b>		
I. Rates of acceptance and implementation by Organization (2006-2012), as of May 2016.....		11
II. IMO trend of acceptance and implementation of JIU recommendations .....		12
III Non-relevant recommendations (2006-2012) .....		14
IV Consideration of JIU reports by IMO Council (2010-2012).....		15

## I. INTRODUCTION

1. The General Assembly of the United Nations has repeatedly noted the importance of enhancing the effectiveness of the Joint Inspection Unit (JIU) and its follow-up system and has reaffirmed that the effectiveness of the JIU is a shared responsibility of the Unit, member States, and the secretariats of the participating organizations.<sup>1</sup>

2. In its resolution 54/16, the General Assembly endorsed the proposal of the Unit to establish a system for handling of JIU reports and recommendations by its participating organizations. The proposal, entitled “Towards a more effective system of follow-up on reports of the Joint Inspection Unit”, was attached as an annex to the Unit’s annual report for 1996-1997.<sup>2</sup> Subsequently, the Unit undertook negotiations on specific follow-up agreements with the secretariats of its participating organizations, which were ratified by their respective governing bodies between 2000 and 2005. Despite several attempts by the Unit, no follow-up scheme could be agreed with the International Maritime Organization (IMO). Yet, in the practice IMO has set up a process for handling JIU reports and recommendations.

3. In 1998, the Unit started tracking the actions taken by legislative bodies on its recommendations. That tracking system evolved over the years into a web-based tracking system (WBTS), which was introduced in 2002. The WBTS serves as an online platform allowing participating organizations to access and update the status of consideration of JIU reports and the acceptance and implementation of recommendations. The General Assembly in its resolution 69/275 requests the heads of participating organizations to make full use of the web-based system and to provide an in-depth analysis of how the recommendations of the Unit are being implemented.<sup>3</sup>

4. The Unit is committed to further enhancing the effectiveness of its follow-up system and therefore decided to include in its programme of work for 2015 a review of the acceptance and implementation of JIU recommendations by its participating organizations during the period 2006-2012. The years 2013 onwards have been excluded from the analysis given the time it takes for reports to be considered by legislative bodies and for recommendations to be implemented by management. All recommendations prior 2006 had been closed and their acceptance and implementation were no longer tracked.

5. The present review is being conducted in two phases. The objectives of the first phase are to review:

- The acceptance and implementation of recommendations by JIU participating organizations, based on the statistics provided in the WBTS, to prompt action to clear recommendations outstanding for five years or more; and
- The process of consideration of JIU reports by the legislative bodies of organizations in order to identify shortcomings and delays in the process.

6. A questionnaire on the process of handling JIU reports, notes and management letters was sent to the JIU focal points at each organization. The results of the first phase of the review are being presented in a series of management letters addressed to executive heads of participating organizations.

---

<sup>1</sup> General Assembly resolutions 50/233, 54/16, 62/246, 63/272, 64/262, 65/270, 66/259, 68/266 and 69/275.

<sup>2</sup> A/52/34.

<sup>3</sup> OP.15.

7. The second phase will identify good follow-up practices at organizations and draw lessons to enhance the follow-up process.

8. The present management letter, which is addressed for action to the Secretary-General of IMO includes:

- A comparison of the acceptance and implementation rates for the period 2006-2012 in order to position IMO within the spectrum of JIU participating organizations;
- A trend analysis of the acceptance and implementation rates at IMO for the period 2006-2012;
- A review of recommendations formulated during the period 2006-2009 still outstanding without any explanation, the acceptance of which is “not available” or “under consideration”, and/or the implementation of which is “in progress”, “not started” or “not available”; and
- An analysis of the process of handling JIU reports issued from 2010 to 2012.

9. Comments on the draft management letter were sought from IMO management and taken into account when finalizing the letter. In accordance with article 11, paragraph 2 of the JIU statute, the present management letter was finalized after consultations among the Inspectors so as to test its conclusions against the collective wisdom of the Unit.

## II. ACCEPTANCE AND IMPLEMENTATION OF RECOMMENDATIONS

### A. Rates of acceptance and implementation of recommendations

10. At the time this review was initiated in February 2015, IMO ranked 15th in the acceptance and 24th in the implementation of JIU recommendations among all participating organizations and entities considered in the review for the period 2006-2012. IMO's acceptance rate was above the average of all organizations but its implementation rate (of accepted recommendations) was quite low, compared to the average rate, as shown in the table below.

Table 1

#### Rates of acceptance and implementation (2006-2012), as of February 2015

	IMO	All organizations
Number of recommendations	276	7692*
Number of accepted recommendations	201	5000*
Number of implemented recommendations	115	4020*
<b>Rate of acceptance</b>	<b>72.8%</b>	65%
<b>Rate of implementation</b>	<b>57.2%</b>	80.4%

\* Number of recommendations multiplied by the number of organizations concerned, to which recommendations are addressed for action.

11. Following a thorough review of outstanding JIU recommendations by IMO conducted during the first quarter of 2016, the rate of implementation increased significantly to 90.9 per cent in May 2016 and is now among the highest of all organizations (see the table below and annex I). **The Inspector is pleased to note these positive results.**

Table 1 (a)

#### Rates of acceptance and implementation (2006-2012), as of May 2016\*

	IMO	All organizations
Number of recommendations	276	7692*
Number of accepted recommendations	197	5313*
Number of implemented recommendations	179	4661*
<b>Rate of acceptance</b>	<b>71.4%</b>	69.43%
<b>Rate of implementation</b>	<b>90.9%</b>	87.62%

\* Number of recommendations multiplied by the number of organizations concerned, to which recommendations are addressed for action.

### B. Trend of acceptance and implementation of recommendations

12. It can be further noted that both the rates of acceptance and implementation of recommendations fluctuated over the period to decrease by 2012, as shown in the table below. **The Inspector requested IMO management to undertake an analysis of the reasons of this trend and report to the JIU.** In its comments to the draft management letter, IMO acknowledged these

statistics and indicated that in the future there would be less fluctuation due to the adoption of a more consistent pattern for conducting internal follow-up reviews of the status of acceptance and implementation of JIU recommendations.

Table 2  
Trend of acceptance and implementation (2006-2012), as of February 2015

	2006	2007	2008	2009	2010	2011	2012
<b>Number of recommendations</b>	6	35	30	47	58	61	39
<b>Rate of acceptance</b>	100.0%	67.9%	60%	46.8%	84.5%	68.9%	89.7%
<b>Rate of implementation</b>	50.0%	62.1%	50.0%	68.2%	53.1%	76.2%	34.3%

13. After the review of long-outstanding recommendations by IMO, the decreasing trend in implementation was reverted by 2012, as shown in the table below (see annex II for more details).

Table 2a  
Trend of acceptance and implementation (2006-2012), as of May 2016

	2006	2007	2008	2009	2010	2011	2012
<b>Number of recommendations</b>	6	35	30	47	58	61	39
<b>Rate of acceptance</b>	100.0%	82.9%	63.3%	55.3%	69.0%	70.5%	87.2%
<b>Rate of implementation</b>	83.3%	82.8%	100%	96.2%	85.0%	97.7%	88.2%

### C. Rates of acceptance and implementation of recommendations addressed to the executive head and legislative body

14. At most participating organizations, the rates of acceptance and implementation of recommendations addressed for action to the executive heads during the period 2006-2012 were higher than the rates of acceptance and implementation of recommendations addressed for action to the legislative bodies, at the time of the review in August 2015. It is explained by the fact that, in principle, recommendations addressed to executive heads are more easily accepted and implemented since they do not entail significant policy changes or costs requiring the approval of member States. At IMO, however, the rate of acceptance of recommendations addressed to the executive head was lower than the rate of acceptance of recommendations addressed to the legislative body and there was no significant difference between the rates of implementation of recommendations addressed to both, as shown in table 3 below. **The Inspector encouraged IMO management to analyse the reasons for this difference and take action, as appropriate.**

Table 3  
Rates of acceptance and implementation by addressee (2006-2012), as of August 2015

	IMO executive head	IMO legislative body
<b>Rate of acceptance</b>	70.5%	79%
<b>Rate of implementation</b>	65.3%	65%

15. Following the increase in the rate of implementation resulting from the review of long-outstanding recommendations undertaken by IMO in early 2016, both the rates of acceptance and implementation of recommendations addressed to IMO executive head were lower than the rates of acceptance and implementation of recommendations addressed to the legislative body, as shown in the table below.

Table 3 (a)  
Rates of acceptance and implementation by addressee (2006-2012), as of May 2016

	IMO executive head	IMO legislative body
<b>Rate of acceptance</b>	70%	75%
<b>Rate of implementation</b>	88.6%	96.5%

16. IMO indicated in its comments to the draft management letter that there was no particular reason to explain such a difference, save perhaps that the Secretariat conscientiously fulfils its obligations and duties towards IMO Council. IMO considered it was not necessary to take any further action in this regard.

#### D. Non-relevant recommendations

17. As of May 2016, IMO has got a very high percentage of recommendations reported as “not relevant” (22.1 per cent), that is above the average of all organizations for the period 2006-2012 (14.1 per cent) (for more details see annex III). The Inspector understands that “small organizations” like IMO may not have the capacity to implement all system-wide recommendations. However, the rate of “not-relevant” recommendations is much higher at IMO than at other “small” organizations, except WMO.

18. IMO’s response to the JIU questionnaire indicated that a recommendation may be “not relevant” for various reasons:

- IMO may not have the available resources or spare capacity to implement the recommendation: for example, IMO does not have funds earmarked for an exclusive investigative post within the Organization;
- The recommendation may not be suited to IMO due to the size of the Organization and the scale of its operations. The recommendation may be too elaborated or complex for IMO to implement effectively; for example, procurement policies which suit Organizations with large scale procurement operations may not suit IMO;
- The recommendation may not be addressed to IMO. For example, a recommendation addressed to members of UNDAF is not relevant to IMO, or a recommendation to a particular organizations with a large field presence, which IMO does not have;
- The recommendation may not offer a net benefit to IMO, based on a cost-benefit analysis.

19. Recommendations classified by IMO as “not relevant” are those that IMO agrees with the objective, but considers the means of achievement of the objective as being unsuitable for the Organization. IMO seeks clearer guidance from the JIU.

20. In this regard, in November 2013 the Unit decided that, when soliciting substantive comments to the draft version of reports or notes from the five smallest JIU participating organizations,<sup>4</sup> the responsible Inspector would enter into a dialogue with the secretariat concerned to ascertain whether the organization would be able to fully accept and implement each of the recommendations in the

<sup>4</sup>IMO, ITC, UPU, UNWTO and WMO.

draft and would reflect in the final version of the report in the annex table “overview of actions” as “for information” only, rather than “for action”, those recommendations the implementation of which would be agreed upon to be beyond the capacity of the Organization.<sup>5</sup> **The Inspector invited IMO management to clearly indicate the non-relevance of recommendations at the time the draft report was received for comments, requesting that these recommendations be reflected as for information only in the annex table “Overview of actions to be taken by participating organizations on the recommendations of the Joint Inspection Unit”.**

21. In its comments, IMO agreed with this recommendation, and had produced written guidance for senior management along the lines set out in paragraph above to be applied in arriving at a decision about the relevance of a recommendation. IMO would request the recommendation be “for information” only when senior management agreed that it would be beyond the capacity of IMO to implement it.

#### **E. Long-outstanding recommendations for five years or more**

22. A review of 118 recommendations in 19 JIU reports and notes addressed for action to IMO during the period 2006-2009 showed that, as of February 2016, there were 43 outstanding recommendations for five years or more (36 per cent), for which action should have already been taken by IMO to either accept and implement or to reject them (see annex IV).

23. The majority of these recommendations (70 per cent) were pending implementation. Five years or more after being sent for action, recommendations should not appear with acceptance “not available” or “under consideration”, implementation “in progress”, “not available” or “not started”. They should be either accepted or rejected and the implementation of those accepted for the most completed. **The Inspector requested IMO to take action to clear these long-outstanding recommendations.**

24. By the time the present management letter was being finalized, the above-mentioned recommendation has been implemented; there are currently 11 recommendations pending clearance, as shown in table 4 below. **The Inspector requests that IMO takes action, as appropriate, to clear the remaining long-outstanding recommendations.**

Table 4

#### **Long outstanding recommendations for five years or more (2006-2009), as of May 2016**

Report/note/ML	Recommendation No.	Status
JIU/REP/2006/4	2	Implementation: Not started
JIU/REP/2007/1	7	Implementation: In progress
JIU/REP/2007/4	4	Implementation: Not started
	8	Implementation: Not started
JIU/REP/2007/6	2	Implementation: Not available
	5	Implementation: Not available
JIU/NOTE/2008/4	19	Acceptance: Under consideration
JIU/NOTE/2009/1	3	Implementation: In progress
JIU/NOTE/2009/2	7	Acceptance: Under consideration

<sup>5</sup>JIU/DEC/2013/40.



	8	Acceptance: Under consideration
	9	Acceptance: Under consideration
<b>Total outstanding recommendations</b>		<b>11</b>

### III. CONSIDERATION OF REPORTS BY IMO LEGISLATIVE BODY

25. A note proposing a pilot scheme for the consideration of JIU reports was sent to IMO in 2001, which was followed by an exchange of correspondence between IMO and JIU in 2002 and 2003, but led to no concrete agreement. Nonetheless, in practice, IMO has set up a process for handling JIU reports and recommendations, as described below.

26. The review of 22 reports issued by the Unit during the period 2010-2012, containing at least one recommendation addressed to IMO legislative bodies, shows that the process put in place for handling JIU reports and recommendations is generally in agreement with the provisions of the JIU statute and the proposed pilot scheme, except for the consideration of all JIU reports sent for action to the organization (see para. 28) and the follow-up and reporting on the implementation of JIU recommendations (see para. 32).

#### A. Dissemination of JIU reports

27. Article 11.4(c) of the JIU statute provides that upon receipt of reports, the executive head(s) concerned shall take immediate action to distribute them to the member States of their organizations.

28. The 2012 Note by the IMO Secretary-General to the IMO Council entitled “External Relations. (b) Joint Inspection Unit” lists the reports issued during the preceding period and provides a link to the Unit’s website where these reports can be found. **The Inspector invited IMO management to provide hyperlinks to individual reports to facilitate access to them.** In its comments to the draft of the present management letter IMO indicated that it would give further consideration to this recommendation in its next reports on JIU activities to IMO Council.

29. The response of IMO to the JIU questionnaire indicated that JIU reports are disseminated internally to the heads of divisions consulted on the JIU review and the draft report.

#### B. Submission of CEB and executive head’s comments

30. In the case of system-wide reports, article 11.4 (e) of the JIU statute calls for the preparation of joint comments of executive heads within the framework of the CEB for submission to the competent organs of the organizations together with any comments of the respective executive head on matters that concern his/her organization.

31. The Note by the IMO Secretary-General to the Council on JIU provides comments on the JIU reports and recommendations issued during the preceding period, whether addressed to the executive head or the legislative body. This is considered a good practice.

32. No reference is made to the consolidated CEB comments. **The Inspector suggested that hyperlinks be provided to facilitate access to the CEB comments as well.** IMO responded that pending consideration of whether to include full hyperlinks to all individual JIU reports, IMO would at the least note in its reporting on JIU activities to the Council that CEB consolidated comments are available on the JIU report in question, and can be found at the link currently provided.

33. In this regard IMO also indicated its concern about the implementation of JIU recommendations addressed to the United Nations Secretary-General, as Chair of CEB, or in consultation with other executive heads members of CEB and considered that it would be more appropriate to address the recommendations directly to the CEB. The Inspector is conscious of this issue and would bring it to the attention of the Unit to discuss and agree on the most appropriate course of action.

### C. Consideration of JIU reports

34. There is a standing agenda item of the Council dedicated to consider JIU reports and recommendations. The biannual frequency of the sessions of the Council could allow timely consideration of reports within the year of issuance. Yet, this is not always the case, with 4 of the 22 reports sent for action to IMO during the period 2010-2012 considered by the Council after one year of issuance. **The Inspector encourages IMO to timely schedule reports for consideration to enhance their impact.**

35. It appears also that not all JIU reports were considered; no information could be found on the consideration of 4 of 22 reports (see annex IV). **The Inspector requested IMO management to explain the reasons for not considering four of the reports addressed for action to the Organization.** In its response, IMO acknowledged this omission and assured JIU that the relevant recommendations have been given due consideration and steps have been/are being taken to implement them.

36. The Inspector noted that no information had been provided in the WBTS on the consideration of reports (documents reference and date of executive head's comments and action by the legislative body); he requested that **action be taken to input the required data in the WBTS.** IMO agreed to do so for all new reports submitted to the Council. **The Inspector reiterates the need to complete the missing information for all previous and new reports.**

### D. Decisions taken by the legislative body on JIU recommendations

37. The Note by the IMO Secretary-General to the Council contains a draft decision inviting the Council "to take note of the information contained in this document and to consider and decide, as appropriate, on all the reports identified in paragraph 1 and the Secretary-General's comments thereon".<sup>6</sup> Subsequently, the Council notes the information contained in the document, as well as that provided orally by the Secretary-General, on matters relating to the JIU. It also notes the reports and the Secretary-General's comments thereon.<sup>7</sup>

38. This "note taking" of the reports and management comments on JIU recommendations by the Council constitutes the basis for recording the status of acceptance and implementation in the WBTS. For the Inspector, this is a valid alternative solution since it triggers subsequent action by the IMO secretariat.

### E. Follow-up and reporting on the implementation of JIU recommendations

39. In line with article 12 of the JIU statute, the executive heads shall ensure expeditious implementation of approved/accepted recommendations. It is noted that no reporting is done to the Council on the status of implementation of accepted recommendations issued during the preceding years, which is considered a good practice of the proposed follow-up scheme. **The Inspector invited IMO management to include a section in the annual Note by the Secretary-General to the Council with this information.** IMO responded that following the comprehensive review undertaken, the organization is now in a position to report on the status of acceptance and implementation of JIU recommendations.

40. We would appreciate receiving a response to this management letter by 31 July 2016.

---

<sup>6</sup>C106/16(b) of 4 May 2011, C108/15(b) of 29 March 2012, C108/15(b)/Add.1 of 18 April 2012, C109/12(b) of 17 September 2012, C110/18(b) of 17 May 2013

<sup>7</sup>C106/d of 4 July 2011, C108/D of 18 June 2012, C110/D of 29 July 2013

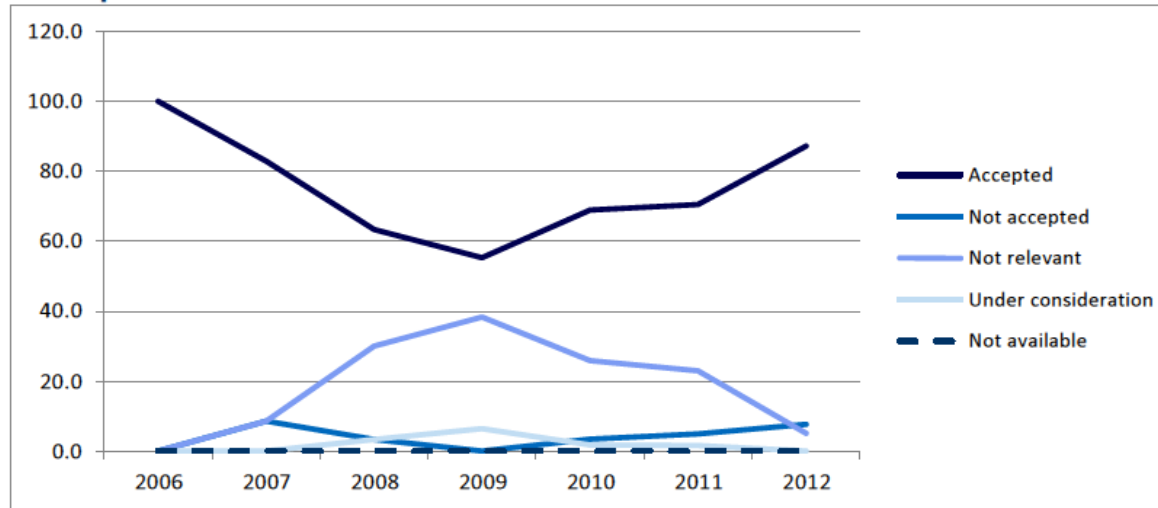
**Annex I**  
**Rates of acceptance and implementation by the Organization (2006-2012), as of May 2016**

	<i>(percentage)</i>		<i>(percentage)</i>
	<i>Accepted</i>		<i>Implemented</i>
FAO	93.7	ITC	100.0
UN-WOMEN	92.6	UNDP	99.3
UNRWA	91.2	FAO	99.0
WFP	90.2	WFP	98.0
UNHCR	86.2	WIPO	97.8
UNFPA	86.0	ICAO	96.1
UNDP	85.5	UNIDO	95.3
UNESCO	83.7	UNESCO	93.4
WHO	83.6	WMO	93.3
ITU	83.3	UNHCR	91.4
UNIDO	83.2	IMO	90.9
ICAO	81.4	UN	88.6
UNOPS	81.0	WHO	88.3
UNAIDS	80.0	<b>All organizations</b>	<b>87.7</b>
UNICEF	79.6	UNEP	86.7
UNEP	78.7	UNCTAD	86.6
WIPO	76.8	UNOPS	86.5
IMO	71.4	UNAIDS	86.1
UN	70.3	UNFPA	85.2
ILO	69.8	ILO	83.6
<b>All organizations</b>	<b>69.2</b>	UNRWA	82.4
WMO	63.9	UNWTO	80.9
ITC	54.6	UNODC	79.1
IAEA	35.3	UNICEF	77.1
UNCTAD	32.8	ITU	65.1
UNWTO	29.4	IAEA	62.3
UNODC	26.4	UN-Habitat	61.2
UPU	25.7	UN-WOMEN	56.0
UN-Habitat	19.2	UPU	46.8
CEB	0.0	CEB	0.0

## Annex II

IMO trend of acceptance and implementaiton of JIU recommendations (2006-2012), as of May 2016

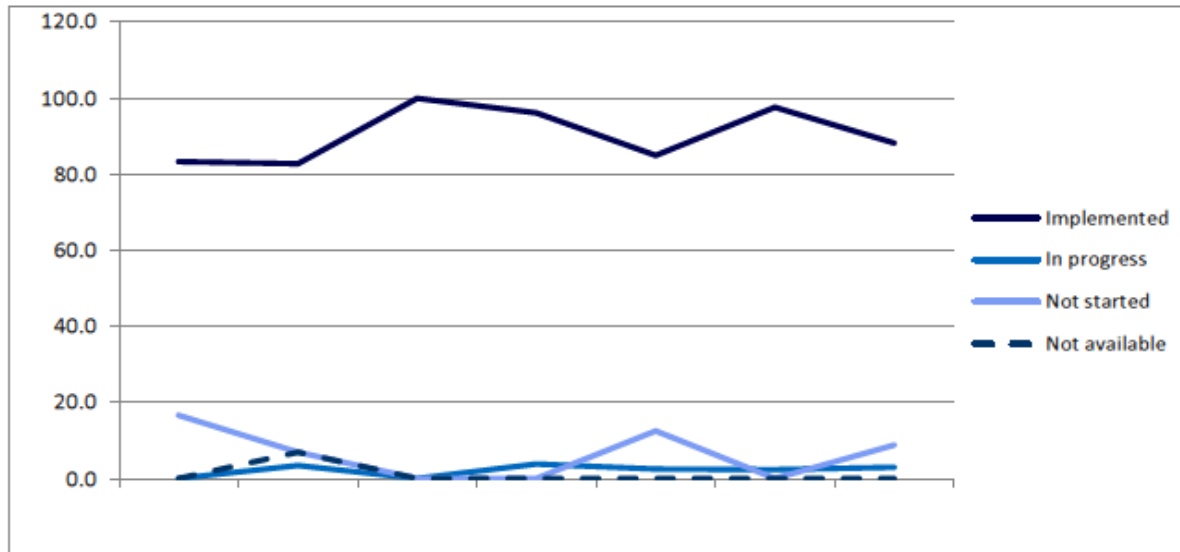
### Acceptance



%	2006	2007	2008	2009	2010	2011	2012
Accepted	100.0	82.9	63.3	55.3	69.0	70.5	87.2
Not accepted	0.0	8.6	3.3	0.0	3.4	4.9	7.7
Not relevant	0.0	8.6	30.0	38.3	25.9	23.0	5.1
Under consideration	0.0	0.0	3.3	6.4	1.7	1.6	0.0
Not available	0.0	0.0	0.0	0.0	0.0	0.0	0.0

Values	2006	2007	2008	2009	2010	2011	2012
Accepted	6	29	19	26	40	43	34
Not accepted	0	3	1	0	2	3	3
Not relevant	0	3	9	18	15	14	2
Under consideration	0	0	1	3	1	1	0
Not available	0	0	0	0	0	0	0

## Implementation



%	2006	2007	2008	2009	2010	2011	2012
Implemented	83.3	82.8	100.0	96.2	85.0	97.7	88.2
In progress	0.0	3.4	0.0	3.8	2.5	2.3	2.9
Not started	16.7	6.9	0.0	0.0	12.5	0.0	8.8
Not available	0.0	6.9	0.0	0.0	0.0	0.0	0.0

Values	2006	2007	2008	2009	2010	2011	2012
Implemented	5	24	19	25	34	42	30
In progress	0	1	0	1	1	1	1
Not started	1	2	0	0	5	0	3
Not available	0	2	0	0	0	0	0

**Annex III**  
**Non-relevant recommendations (2006-2012), as of May 2016**

	<i>(percentage)</i>
	<i>Not relevant</i>
UNODC	72.4
UNCTAD	65.2
ITC	45.5
WMO	26.4
IMO	22.1
UN-Habitat	20.8
WIPO	16.7
ILO	15.4
UNEP	14.2
<b>All organizations</b>	<b>14.1</b>
ITU	12.1
UNOPS	11.7
ICAO	11.0
UN	11.0
UNFPA	10.6
UNESCO	9.9
UPU	9.3
UNDP	9.3
IAEA	8.7
UNIDO	8.1
UNHCR	8.0
UNAIDS	6.7
UNICEF	5.8
WFP	5.4
UNRWA	5.0
WHO	4.6
FAO	1.9
CEB	0.0
UN-WOMEN	0.0
UNWTO	0.0

**Annex IV**  
**Consideration of JIU reports and recommendations by IMO Council (2010-2012)**

Report	Type of report (system-wide, several or single organization) (a)	Date report sent for action (b)	Date of issuance of CEB comments and document reference (c)	Time taken by CEB secretariat to issue comments (in months) (d)=(c) – (b)	Date of issuance of executive head comments and document reference (e)	Time taken by executive head to issue comments (in months) (f)= (e) – (b)	Date report taken up by legislative body and document reference (g)	Time between report sent for action and taken up by legislative body (in months) (h) = (g) –(b)	Action taken by legislative bodies (accept, reject, note taken, no action) (j)	Remarks (k)
JIU/REP/2012/12	SWR	15/02/2013	23/05/2013 A/67/873/Add.1	3 months	17/05/ 2013 C 110/18(b)	3 months	C 110/18(b) 19/07/2013	months	“The Council noted the information contained in documents C 109/12(b) and C 110/18(b), as well as that provided orally by the S-G, on matters relating to the JIU” (C 110/D, 29/07/2013)	No information in WBTS
JIU/REP/2012/10	SEV.	02/05/2013	n/a	n/a	No info	No info	No info	No info	No info	Report not considered  No information in WBTS
JIU/REP/2012/9	SWR	28/02/2013	19/09/2013 A/68/373/Add.1	7 months	17/05/ 2013 C 110/18(b)	.5 months	C 110/18(b) 19/07/2013	4.5 months	“The Council noted the information contained in documents C 109/12(b) and C 110/18(b), as well as that provided orally by the S-G, on matters relating to the JIU” (C 110/D, 29/07/2013)	No information in WBTS
JIU/REP/2012/8	SWR	28/06/2013	04/09/2013 A/68/344/Add.1	3 months	No info	No info	No info	No info	No info	Report not considered  No information in WBTS
JIU/REP/2012/5	SWR	28/02/2013	19/09/2013 A/68/67/Add.1	7 months	No info	No info	No info	No info	No info	Report not considered  No information in WBTS
JIU/REP/2012/4	SWR	23/10/2012	21/06/2013 A/67/888/Add.1	8 months	17/05/ 2013 C 110/18(b)	7 months	C 110/18(b) 19/07/2013	9 months	“The Council noted the information contained in documents C 109/12(b) and	No information in



Report	Type of report (system-wide, several or single organization) (a)	Date report sent for action (b)	Date of issuance of CEB comments and document reference (c)	Time taken by CEB secretariat to issue comments (in months) (d)=(c) – (b)	Date of issuance of executive head comments and document reference (e)	Time taken by executive head to issue comments (in months) (f)= (e) – (b)	Date report taken up by legislative body and document reference (g)	Time between report sent for action and taken up by legislative body (in months) (h) = (g) –(b)	Action taken by legislative bodies (accept, reject, note taken, no action) (j)	Remarks (k)
									C 110/18(b), as well as that provided orally by the S-G, on matters relating to the JIU” (C 110/D, 29/07/2013)	WBTS
JIU/REP/2012/2	SWR	11/05/2012	28/09/2012 A/67/337/Add.1	4.5 months	17/09/2012 C 109/12(b)	4 months	C 109/12(b) 199/07/2013	4 months	“The Council noted the information contained in documents C 109/12(b) and C 110/18(b), as well as that provided orally by the S-G, on matters relating to the JIU” (C 110/D, 29/07/2013)	No information in WBTS Report considered > 1 year
JIU/REP/2011/09	SWR	09/03/2012	29/06/2012 A/67/119/Add.1	3.5 months	18/04/2012 C 108/15(b) /Add.1	1.5 month	C 108/15(b)/ /Add.1 14/06/2012	3 months	“The Council noted the information contained in documents C 108/15(b) and C 108/15(b)/Add.1, as well as that provided orally by the S-G, giving information and comments on JIU reports received since the 106th session of the Council” (C108/D, 18/06/2012)	Note taken No information in WBTS
JIU/REP/2011/7	SWR	29/03/2012	29/08/2012 A/67/140/ Add.1	5 months	18/04/2012 C 108/15(b) /Add.1	1 month	C108/15(b)/ Add.1 14/06/2012	2.5 months	The Council noted the information contained in documents C 108/15(b) and C 108/15(b)/Add.1, as well as that provided orally by the S-G, giving information and comments on JIU reports received since the 106th session of the Council” (C108/D, 18/06/2012)	No information in WBTS
JIU/REP/2011/6	SWR	21/02/2012	02/07/2012 A/67/83/Add.1	5months	29/03/2012 C 108/15(b)	1 month	C 108/15(b) 14/06/2012	4 months	Same as above The Council noted the information contained in documents C 108/15(b) and C 108/15(b)/Add.1. as well as that	No information in WBTS

Report	Type of report (system-wide, several or single organization) (a)	Date report sent for action (b)	Date of issuance of CEB comments and document reference (c)	Time taken by CEB secretariat to issue comments (in months) (d)=(c) – (b)	Date of issuance of executive head comments and document reference (e)	Time taken by executive head to issue comments (in months) (f)= (e) – (b)	Date report taken up by legislative body and document reference (g)	Time between report sent for action and taken up by legislative body (in months) (h) = (g) –(b)	Action taken by legislative bodies (accept, reject, note taken, no action) (j)	Remarks (k)
									provided orally by the S-G, giving information and comments on JIU reports received since the 106th session of the Council” (C108/D, 18/06/2012)	
JIU/REP/2011/5	SWR	21/02/2012	28/02/2012 A/66/710/Add.1	0.25 month	29/03/2012 C 108/15(b)	1 month	C 108/15(b) 14/06/2012	4 months	“The Council noted the information contained in documents C 108/15(b) and C 108/15(b)/Add.1, as well as that provided orally by the S-G, giving information and comments on JIU reports received since the 106th session of the Council” (C108/D, 18/06/2012)	No information in WBTS
JIU/REP/2011/4	SWR	29/03/2012	15/06/2012 A/67/78/Add.1	2.5 months	17/09/2012 C 109/12(b)	6 months	09/11/2012 19/07/2013	15.5 months	“The Council noted the information contained in documents C 109/12(b) and C 110/18(b), as well as that provided orally by the S-G, on matters relating to the JIU” (C 110/D, 29/07/2013)	No information in WBTS Report considered > 1 year
JIU/REP/2011/3	SWR	08/07/2011	29/02/2012 A/66/717/Add.1	7 months	29/03/2012 C 108/15(b)	8.5 months	C 108/15(b) 14/06/2012	11 months	“The Council noted the information contained in documents C 108/15(b) and C 108/15(b)/Add.1, as well as that provided orally by the S-G, giving information and comments on JIU reports received since the 106th session of the Council” (C108/D, 18/06/2012)	No information in WBTS
JIU/REP/2011/1	SWR	10/06/2011	23/03/2012 A/66/327/Add.1	9 months	29/03/2012 C 108/15(b)	9.5 months	C 108/15(b) 14/06/2012	12 months	Same as above The Council noted the information contained in documents C 108/15(b) and	No information in WBTS

Report	Type of report (system-wide, several or single organization) (a)	Date report sent for action (b)	Date of issuance of CEB comments and document reference (c)	Time taken by CEB secretariat to issue comments (in months) (d)=(c) – (b)	Date of issuance of executive head comments and document reference (e)	Time taken by executive head to issue comments (in months) (f)= (e) – (b)	Date report taken up by legislative body and document reference (g)	Time between report sent for action and taken up by legislative body (in months) (h) = (g) –(b)	Action taken by legislative bodies (accept, reject, note taken, no action) (j)	Remarks (k)
									C 108/15(b)/Add.1, as well as that provided orally by the S-G, giving information and comments on JIU reports received since the 106th session of the Council” (C108/D, 18/06/2012)	
JIU/REP/2010/8	SWR	29/03/2011	23/09/2011 A/66/355/Add.1	6 months	4/05/2011 C 106/16(b)	1 month	C 106/16(b) 01/07/2011	3.5 months	“The Council noted the information set out in document C 106/16(b), as well as that provided orally by the S-G, on the reports of the JIU.” “The Council noted the report entitled "Inter-agency staff mobility and work/life balance in the organizations of the United Nations system" (JIU/REP/2010/8) and the Secretary-General's comments thereon.” (C 106/D, 04/07/2011)	No information in WBTS
JIU/REP/2010/7	SWR	16/12/2010	23/09/2011 A/66/348/Add.1	9 months	4/05/2011 C 106/16(b)	4.5 months	C 106/16(b) 01/07/2011	6.5 months	“The Council noted the information set out in document C 106/16(b), as well as that provided orally by the S-G, on the reports of the JIU.” “The Council noted the report entitled "Policies and procedures for the administration of trust funds in the United Nations system organizations" (JIU/REP/2010/7) and the Secretary-General's comments thereon.” (C 106/D, 04/07/2011)	No information in WBTS
JIU/REP/2010/6	SWR	22/11/2010	17/08/2011 A/66/308/Add.1	9 months	4/05/2011 C 106/16(b)	5.5 months	C 106/16(b) 01/07/2011	7.5 months	“The Council noted the information set out in document C 106/16(b), as well as that provided orally by the S-G, on the	No information in WBTS

Report	Type of report (system-wide, several or single organization) (a)	Date report sent for action (b)	Date of issuance of CEB comments and document reference (c)	Time taken by CEB secretariat to issue comments (in months) (d)=(c) – (b)	Date of issuance of executive head comments and document reference (e)	Time taken by executive head to issue comments (in months) (f)= (e) – (b)	Date report taken up by legislative body and document reference (g)	Time between report sent for action and taken up by legislative body (in months) (h) = (g) –(b)	Action taken by legislative bodies (accept, reject, note taken, no action) (j)	Remarks (k)
									reports of the JIU.” “The Council noted the report entitled "Preparedness of United Nations system organizations for the International Public Sector Accounting Standards (IPSAS)" (JIU/REP/2010/6) and the Secretary-General's comments thereon” (C 106/D, 04/07/2011)	
JIU/REP/2010/5	SWR	04/01/2011	23/09/2011 A/66/73/Add.1	8 months	4/05/2011 C 106/16(b)	4 months	C 106/16(b) 01/07/2011	6 months	“The Council noted the information set out in document C 106/16(b), as well as that provided orally by the S-G, on the reports of the JIU.” “The Council noted the report entitled "The audit function in the United Nations system" (JIU/REP/2010/5) and the Secretary-General's comments thereon.” (C 106/D, 04/07/2011)	No information in WBTS
JIU/REP/2010/4	SWR	22/11/2010	17/08/2011 A/65/788/Add.1	9months	4/05/2011 C 106/16(b)	5.5 months	C 106/16(b) 01/07/2011	7.5 months	“The Council noted the information set out in document C 106/16(b), as well as that provided orally by the S-G, on the reports of the JIU.” “The Council noted the report entitled "Review of enterprise risk management in the United Nations system: Benchmarking framework" (JIU/REP/2010/4) and the Secretary-General's comments thereon,” (C 106/D, 04/07/2011)	No information in WBTS
JIU/REP/2010/3	SWR	18/06/2010	09/09/2010 A/65/345/Add.1	3 months	4/05/2011 C 106/16(b)	10.5months	C 106/16(b) 01/07/2011	12.5 months	“The Council noted the information set out in document C 106/16(b), as well as	No information in WBTS

Report	Type of report (system-wide, several or single organization) (a)	Date report sent for action (b)	Date of issuance of CEB comments and document reference (c)	Time taken by CEB secretariat to issue comments (in months) (d)=(c) – (b)	Date of issuance of executive head comments and document reference (e)	Time taken by executive head to issue comments (in months) (f)= (e) – (b)	Date report taken up by legislative body and document reference (g)	Time between report sent for action and taken up by legislative body (in months) (h) = (g) –(b)	Action taken by legislative bodies (accept, reject, note taken, no action) (j)	Remarks (k)
									that provided orally by the S-G, on the reports of the JIU.” “The Council noted the report entitled "Ethics in the United Nations system" (JIU/REP/2010/3) and the Secretary-General's comments thereon.” (C 106/D, 04/07/2011)	Report considered > 1 year
JIU/REP/2010/2	SWR	19/05/2010	01/09/2010 A/65/338/Add.1	4 months	4/05/2011 C 106/16(b)	11.5months	C 106/16(b) 01/07/2011	13.5 months	“The Council noted the information set out in document C 106/16(b), as well as that provided orally by the S-G, on the reports of the JIU.” “The Council noted the report entitled "Review of travel arrangements within the United Nations system" (JIU/REP/2010/2) and the Secretary-General's comments thereon.” (C 106/D, 04/07/2011)	No information in WBTS  Report considered > 1 year
JIU/REP/2010/1	SWR	19/03/2010	07/09/2010 A/65/346/Add.1	6 months	No info	No info	No info	No info	No info	No information in WBTS  Report not considered  “Council noted that the report entitled "Environmental Profile of the United Nations system organizations:

Report	Type of report (system-wide, several or single organization) (a)	Date report sent for action (b)	Date of issuance of CEB comments and document reference (c)	Time taken by CEB secretariat to issue comments (in months) (d)=(c) – (b)	Date of issuance of executive head comments and document reference (e)	Time taken by executive head to issue comments (in months) (f)= (e) – (b)	Date report taken up by legislative body and document reference (g)	Time between report sent for action and taken up by legislative body (in months) (h) = (g) –(b)	Action taken by legislative bodies (accept, reject, note taken, no action) (j)	Remarks (k)
										review of their in-house environmental management policies and practices" (JIU/REP/2010/1) had not been included in the document, as it was not addressed to the governing bodies." (C 106/D, 04/07/2011)

Total reports: 22.